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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,426	12/22/2000	Balaji Raghunathan	0007056- 0176/P5745NP/ARG/

CONFIRMATION NO. 1482

## FORMALITIES LETTER



\*OC000000005738599\*

J.D. Harriman II  
COUDERT BROTHERS  
23rd Floor  
333 South Hope Street  
Los Angeles, CA 90071

Date Mailed: 02/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/11/2001 BNGUYEN1 00000108 09747426

01 FC:101	710.00 OP	FILED UNDER 37 CFR 1.53(b)  <i>Filing Date Granted</i>
02 FC:105	130.00 OP	
03 FC:103	270.00 OP	
04 FC:102	160.00 OP	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$430.
  - \$270 for 15 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1270.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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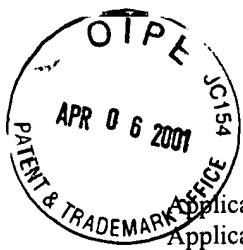
*A copy of this notice **MUST** be returned with the reply.*

*Trish DeHaan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Balaji Raghunathan, et al.  
Application No. : 09/747,462 426  
Filed : December 22, 2000  
Title : **SERVER FRAME WORK FOR A DATABASE SERVER**  
Grp./Div. : 2152  
Examiner : Not Assigned  
Reference : 0007056-0176/P5745NP/ARG/ELK

**LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF PROVISIONAL APPLICATION**

**CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8A)**

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail No. EL 782718885 US in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D. C. 20231.

Date of Deposit: April 6, 2001

Jose Ramos

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Commissioner:

COUDERT BROTHERS  
333 South Hope St., 23<sup>rd</sup> Floor  
Los Angeles, California 90071  
(213) 229-2900

In response to the Notice to File Missing Parts of Non-Provisional Application dated **February 6, 2001**, enclosed are:

1. Part 2 Copy of Notice to File Missing Parts of Non-Provisional Application
2. Signed Declaration for Patent Application
3. Appropriate set of Drawings (13 sheets) in compliance with 37 CFR 1.84(g).
4. Check in the amount of **\$1,276.00**, which includes the basic filing fee of \$710.00; additional claim fee of \$43.00 and surcharge of \$130.00 for a non-small entity.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 501215. Please show our reference number with any charge or credit to our Deposit Account. Our Customer Number is 23600. **A copy of this letter is enclosed.**

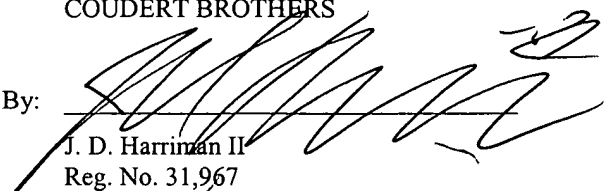
Please address all communications to **J. D. Harriman II, COUDERT BROTHERS, 333 South Hope St., 23<sup>rd</sup> Floor, Los Angeles, California 90071.**

Respectfully submitted,

COUDERT BROTHERS

Date: April 6, 2001

By:

  
J. D. Harriman II  
Reg. No. 31,967